

#13
CD
7/31/02

Patent

Docket Number: ART-00101.P.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wang et al.

Application No.: 09/648,081

Filed: August 25, 2000

For: METHODS AND COMPOSITIONS
FOR IDENTIFYING NUCLEIC ACID
MOLECULES USING NUCLEOLYTIC
ACTIVITIES AND HYBRIDIZATION



Examiner: Lu, Frank W.

Art Unit: 1655

RECEIVED
JUL 26 2002
TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT
NUMBER 3

Applicants submit the references listed on the attached form PTO 1449, copies of which are enclosed.

This statement is being filed after the mailing of a First Office Action on the merits under 37 C.F.R. § 1.97(a)(3). Accordingly, the fee of \$180.00 under 37 C.F.R. § 1.17(p) is included.

Please apply any charges not covered, or any credits, to Deposit Account number
501321 in the name of David R. Preston & Associates, having Customer Number 24232.

Respectfully submitted,

Date: _____

July 17, 2002

David R. Preston & Associates
12625 High Bluff Drive
Suite 205
San Diego, CA 92130
phone: 858.724.0375
facsimile: 858.724.0384



David R. Preston
Reg. No. 38,710

TRANSMITTAL FORMTo be used for all correspondence after
initial filing)

Application Number: 09/648,081

Filing Date: August 25, 2000

First Named Inventor: Wang

Group Art Unit: 1655

Examiner: Lu, Frank W.

Attorney Docket Number: ART-00101.P.1

Total Pages in This
Submission:

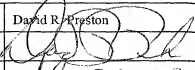
44

ENCLOSURES (check all that apply)

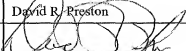
<input checked="" type="checkbox"/> [X] Fee Transmittal Form	<input type="checkbox"/> [] Assignment Papers (for an Application)	<input type="checkbox"/> [] After Allowance Communication to Group	
<input checked="" type="checkbox"/> [X] Fee Attached	<input checked="" type="checkbox"/> [X] Drawing(s)	<input type="checkbox"/> [] Appeal Communication to Board of Appeals and Interferences	
<input checked="" type="checkbox"/> [X] Amendment / Response	<input type="checkbox"/> [] Licensing-related Papers	<input type="checkbox"/> [] Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)	
<input type="checkbox"/> [] After Final	<input type="checkbox"/> [] Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> [] Proprietary Information	
<input type="checkbox"/> [] Affidavits / Declarations(s)	<input type="checkbox"/> [] Petition to Convert to a Provisional Application	<input type="checkbox"/> [] Status Letter	
<input checked="" type="checkbox"/> [X] Extension of Time Requests	<input type="checkbox"/> [] Power of Attorney, Revocation, Change of Correspondence Address	[X] Additional Enclosures, identified below: Postcard Copies of references. Submission of proposed abstract	
<input type="checkbox"/> [] Express Abandonment Request	<input type="checkbox"/> [] Terminal Disclaimer		
<input checked="" type="checkbox"/> [X] Information Disclosure Statement	<input type="checkbox"/> [] Small Entity Statement		
<input type="checkbox"/> [] Certified Copy of Priority Document(s)	<input type="checkbox"/> [] Request for Refund		
<input type="checkbox"/> [] Response to Missing Parts / Incomplete Application	Remarks:		
<input type="checkbox"/> [] Response to Missing Parts under 37 C.F.R. 1.52 or 1.53			

Transmittal Form
Corresponding to PTO/SB/21

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	David R. Preston
Signature	
Date	July 17, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.		Date:	7/17/02
Typed or printed name:	David R. Preston		
Signature		Date:	7/17/02

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Total Amount of Payment \$ 820.00

Complete if Known

Application Number:	09/648,081
Filing Date:	August 25, 2000
First Named Inventor:	Wang
Group / Art Unit:	1655
Docket Number:	ART-00101.P.1

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JUL 26 2000

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METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Account Number: ☐
Deposit Account Name: ☐
☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 to
Deposit Account Number 50132
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.

2. ☒ Payment Enclosed
☒ Check Number 1577
☐ Money Order
☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
	101		201		Utility Filing Fee	\$
	106		206		Design Filing Fee	\$
	107		207		Plant Filing Fee	\$
	108		208		Reissue Filing Fee	\$
	114		214		Provisional Filing Fee	\$
SUBTOTAL (1)						\$ 0.00

2. EXTRA CLAIM FEES

Highest Paid: 20 claims with 4 independent				Extra Claims		Fee From Below		Fee Paid
Total Claims	[40]	-20 **	Equals	[20]	Times	[]	Equals	\$ 180.00
Independent Claims	[4]	-4 **	Equals	[0]	Times	[]	Equals	\$ 0.00
Subtotal (2)								\$ 180.00

** or number previously paid, if greater, For Reissues, see below

	Large Entity		Small Entity		Fee Description
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	
	103		203	9.00	Claims in excess of 20
	102		202		Independent claims in excess of 3
	104		204		Multiple dependent claim, if not paid
	109		209		** Reissue independent claims over original patent
	110		210		** Reissue claims in excess of 20 and over original patent

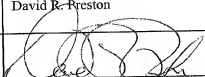
3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105		205		Surcharge - late filing fee or oath	\$
127		227		Surcharge - late provisional filing fee or cover sheet	\$
139		139		Non-English specification	\$
147		147		For filing a request for reexamination	\$
112		112		Requesting publication of SIR prior to Examiner action	\$
113		113		Requesting publication of SIR after Examiner action	\$
115		215		Extension for reply within first month	\$
116		216		Extension for reply within second month	\$
117		217	460	Extension for reply within third month	\$ 460.00
118		218		Extension for reply within fourth month	\$
128		228		Extension for reply within fifth month	\$
119		219		Notice of Appeal	\$
120		220		Filing a brief in support of an appeal	\$
121		221		Request for oral hearing	\$
138		138		Petition to institute a public use proceeding	\$
140		240		Petition or revive - unavoidable	\$
141		241		Petition or revive - unintentional	\$
142		242		Utility issue fee (or reissue)	\$
143		243		Design issue fee	\$
144		244		Plant issue fee	\$
122		122		Petitions to the Commissioner	\$
123		123		Petitions related to provisional applications	\$
126		126	180.00	Submission of Information Disclosure Statement	\$ 180.00
581		581		Recording each patent assignment per property	\$
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$
149		249		For each additional invention to be examined (37 CFR 1.129(b))	\$
					\$
Subtotal					\$ 640.00

Fee Transmittal
Corresponding to PTO/SB/17

	(3)	
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4. SUMMATION OF FEES

SUBTOTAL (1)	\$ 0.00
SUBTOTAL (2)	\$ 180.00
SUBTOTAL (3)	\$ 640.00
TOTAL FEES	\$ 820.00

SUBMITTED BY			Complete (If Applicable)	
Typed or Printed Name	David R. Preston		Registration Number:	38,710
Signature		Date: 7/17/02	Deposit Account User ID Number	501321